

**UNIVERSITY OF VIRGINIA PRINCIPAL INVESTIGATOR  
CERTIFICATION ON INVESTIGATOR CONFLICT OF INTEREST**

All investigators must disclose to their universities every significant financial interest that would reasonably appear to be affected by research for which funding is sought. For Principal Investigators at the University of Virginia, disclosure is accomplished through the **annual** filing of the **Annual Disclosure Form of Financial Interests Related to Research**.

Universities must also have a written policy governing conflicts of interest, including what must be disclosed by "each individual responsible for the design, conduct, or reporting of the research or educational activities funded or proposed for funding" by the sponsor. Funds may not be expended until identified conflicts have been disclosed and reviewed, and either managed or eliminated.

**All three sections** of this certification must be completed by the Principal Investigator at the time a proposal submission to a sponsor. The original of this certification should be submitted with the proposal to the Office for Sponsored Programs/Office of Grants and Contracts. One copy should be retained with the Principal Investigator's records relating to the project.

**1. Principal Investigator/Responsible faculty member:**

Name: \_\_\_\_\_

Sponsor:  NSF  PHS  other sponsor \_\_\_\_\_

Title of proposal: \_\_\_\_\_

Protocol Number: \_\_\_\_\_

Do you currently have, or have you in the past received, a gift from this sponsor?

yes  no

Do you anticipate receiving a gift from this sponsor in the future?  yes  no

My **Annual Disclosure Form of Financial Interests Related to Research** is up-to-date.  yes,  no

Have all significant financial interests related to this proposal, protocol, or gift been disclosed?  yes  no

Is this a consulting agreement, stage IV clinical trial, or work for hire engagement?

yes  no

If you have answered no to any of these questions please attach an explanation.

**2. Are there other investigators?** (If you are the PI check either A or B)

A. No other individuals associated with this project bear responsibility for the design, conduct, or reporting of the proposed research; **or**

B. In addition to the Principal Investigator, the following individuals are responsible for the design, conduct, or reporting of the proposed research. I have obtained a disclosure form from each. Forms indicating a potential conflict will be forwarded to the Conflict of

Interest Committee. Forms indicating no potential conflict will be retained with my records for a period of 3 years following the completion of the grant.

Other Investigator

Form completed

yes no

Other Investigator

Form completed

yes no

Other Investigator

Form completed

yes no

**3. Principal Investigator signature.** This signature indicates assurance by the Principal Investigator that s/he and all investigators have complied with state, federal and University requirements for disclosure of potential conflicts of interest relating to the sponsored proposal identified above.

\_\_\_\_\_  
Principal Investigator

\_\_\_\_\_  
Date